PTO/SB/08a (05-07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Application Number Filing Date		10560928 2006-05-05	
	First Named Inventor	Yeche	ezkel BARENHOLZ	
	Art Unit		1632	
	Examiner Name Emily		y M. Le	
	Attorney Docket Numb	er	BARENHOLZ 13	

			_		U.S.I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue C	Oate	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Releva Figures Appear		
	1	6117653	A	2000-09	9-12	Thoma et al.				
	2	6300321	A	2001-10	)-09	Scherman et a	ı.			
If you wisl	n to ac	ı dd additional U.S. Pateı	nt citatio	n inform	ation pl	ease click the	Add button.			
U.S.PATENT APPLICATION PUBLICATIONS										
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1									
If you wisl	n to ac	dd additional U.S. Publi	shed Ap	plication	citatio	n information p	please click the Add	d butto	on.	
				FOREIG	GN PAT	ENT DOCUM	ENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	95/21175	WO		A1	1995-08-10	Pei et al.			
	2									

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10560928		
Filing Date		2006-05-05		
First Named Inventor	Yeche	Yechezkel BARENHOLZ		
Art Unit		1632		
Examiner Name	Emily	Emily M. Le		
Attorney Docket Number		BARENHOLZ 13		

	3	00/37046	wo	A1	2000-06-29	Yager et al.		
	4	01/38295	wo	A1	2001-05-31	Kobori et al.		$\boxtimes$
	5	01/48233	wo	A1	2001-07-05	Tagawa et al.		
	6	01-213858	JP		2001-08-07	Kobori et al.		$\boxtimes$
	7	04/110496	wo	A1	2004-12-23	Barenholz et al.		
If you wis	h to a	dd additional Foreign P	atent Document	citation	information pl	ease click the Add buttor	า	
			NON-PATE	NT LITE	RATURE DO	CUMENTS		
Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						<b>T</b> 5	
	1	Bangham, "Liposomes: realizing their promise." Hosp Pract (Off Ed). 15;27(12):51-6, 61-2 (1992) (Abstract)						
	2	Cabral et al., "Cellular and Humoral Immunity in Guinea Pigs to Two Major Polypeptides Derived from Hepatitis B Surface Antigen" J. gen. Virol. 38, 339-350 (1978)						
	3	Diminsky et al., "Structural and Functional Characterization of Liposomal Recombinant Hepatitis B Vaccine" Journal of Liposome Research 6(2), 289-304 (1996)						
	4	Fellowes et al. "Amelioration of established collagen induced arthritis by systemic IL-10 gene delivery" Gene Therapy 7, 967-977 (2000)						

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10560928		
Filing Date		2006-05-05		
First Named Inventor	Yeche	Yechezkel BARENHOLZ		
Art Unit		1632		
Examiner Name	Emily M. Le			
Attorney Docket Number		BARENHOLZ 13		

	5	Fletcher et al. "A dialkynoyl analogue of DOPE improves gene transfer of lowercharged, cationic lipoplexes", Org Biomol Chem. 21; 4(2):196-9 (2006) (Abstract)						
	6	Gavilanes et al., "Hepatitis B surface antigen - Role of lipids in maintaining the structural and antigenic properties of protein components" Biochem. J. 265, 857-864 (1990)						
	7	Gomez-Gutierrez et al., "Reconstitution of hepatitis B surface antigen proteins into phospholipid vesicles" Biochimica et Biophysica Acta 1192: 45-52 (1994)						
	8	Hermanson., "Bioconjugate Techniques" Academic Press, San Diego, CA, pp. 154-155 (1996)						
	9	Keller et al. "Thermodynamic aspects and biological profile of CDAN/DOPE and DC-Chol/DOPE lipoplexes" Biochemistry 27; 42(20):6067-77 (2003) (Abstract)						
If you wis	If you wish to add additional non-patent literature document citation information please click the Add button							
			EXAMINER SIGNATURE					
Examiner Signature		Date Considered						
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								